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CLERK OF THE SUPERIOR COL

IN THE SUPERIOR COURT OF THE STATE OF CALIFORNIA IN AND FOR THE COUNTY OF ALAMEDA

SAVE BERKELEY'S NEIGHBORHOODS, a California nonprofit public benefit corporation; Plaintiff,

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THE REGENTS OF THE UNIVERSITY OF CALIFORNIA; MICHAEL V. DRAKE, in his capacity as President of the University of California; UNIVERSITY OF CALIFORNIA, BERKELEY; CAROL T. CHRIST, in her capacity as Chancellor of the University of California, Berkeley; and DOES 1 through 20,

Respondents,

AMERICAN CAMPUS COMMUNITIES; COLLEGIATE HOUSING FOUNDATION; AMERICAN CAMPUS COMMUNITIES SERVICES, INC.; AMERICAN CAMPUS COMMUNITIES OPERATING PARTNERSHIP LP; and DOES 23 through 40,

Real Parties in Interest.

Case No. RG19022887

Related Cases: RG18902751 RG19023058

[Proposed Second Corrected] JUDGMENT

[California Environmental Quality Act]

Assigned for All Purposes to the Honorable Brad Seligman, Dept. 23

Action Filed: June 13, 2019

Trial: April 16, 2021

Law Offices of homas N. Lippe 201 Mission St. 12* Floor The Second Amended Petition for Writ of Mandate filed in this matter on December 21, 2020, came on regularly for hearing on April 16, 2021, before the Honorable Brad Seligman, Judge Presiding. Counsel Thomas N. Lippe appeared on behalf of Petitioner Save Berkeley's Neighborhoods. Counsel Charles Olson appeared on behalf of Respondents.

Having reviewed the record of proceedings in this matter, the briefs submitted by the parties, and hearing the arguments of counsel, the Court, on July 9, 2021, issued an Order Granting Petitions for Writ of Mandate (Order). The Order is attached hereto as Exhibit 1 and is incorporated herein by reference as if set forth in full. The Court enters the following JUDGEMENT.

IT IS ORDERED AND ADJUDGED that:

- 1. For the reasons stated in the Order Petitioner Save Berkeley's Neighborhoods', petition for writ of mandate is granted in part.
- 2. The clerk is directed to issue a peremptory writ of mandate ("Writ"), in the form attached hereto as Exhibit 2, directed to Respondents the Regents of the University of California; Janet Napolitano, in her capacity as President of the University of California; University of California, Berkeley; and Carol T. Christ, in her capacity as Chancellor of the University of California, Berkeley (hereinafter collectively referred to as "Respondents").
- 3. Pursuant to Public Resources Code section 21168.9(a)(1):
 - a. Respondents are ordered to void any decision or decisions they may have made prior to entry of this Judgment to increase student enrollment in academic year 2022-2023 or later above the level of student enrollment at UC Berkeley in academic year 2020-2021.
 - b. Respondents are ordered to void their decision to carry out the Upper Hearst Development for the Goldman School of Public Policy and Housing Project.
 - c. Respondents are ordered to decertify the Final Supplemental Environmental Impact Report for the Upper Hearst Development Plan for the Goldman School of Public Policy and Minor Amendment to the 2020 Long Range Development Plan ("SEIR").
- 4. Pursuant to Public Resources Code section 21168.9(a)(2), the Court finds that further increases in enrollment will prejudice the consideration or implementation of particular mitigation measures or alternatives to the project, such as the Reduced Enrollment Alternative discussed at page 21 of the Order, and that further increases in student enrollment above the current enrollment level at UC Berkeley could result in an adverse change or alteration to the physical environment; therefore, Respondents are ordered

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Law Offices of Thomas N. Lippe 201 Mission St. 12* Floor San Francisco, CA 94105 Tel: 415-777-5606 Fax: 415-777-5606 to suspend any further increases in student enrollment at UC Berkeley, in academic years 2022-2023 and later, above the level of student enrollment in academic year 2020-2021 until Respondents have demonstrated full compliance with this Judgment and Writ and the Court orders discharge of the Writ.

- 5. Pursuant to Public Resources Code section 21168.9(a)(3), Respondents are ordered to revise the SEIR to remedy the deficiencies identified in this Judgment and to ensure that the SEIR complies with the California Environmental Quality Act (CEQA); and with respect to the revised SEIR, to comply with all procedures set forth CEQA Guidelines 15084 through 15097, and to certify the revised SEIR pursuant to CEQA Guideline 15090, by June 30, 2022.
- 6. The Court hereby retains jurisdiction over the parties and the subject matter of this action, including Respondents compliance with this Judgment and Writ.
- 7. To enforce this Judgment or Writ, Petitioner may file a motion to enforce or use any other method of enforcement authorized by law.
- 8. If Petitioner objects to Respondents' certification of the revised SEIR on grounds that the revised SEIR fails to comply with CEQA's legal requirements, Petitioner may seek judicial review of its objections on these grounds by filing a motion to enforce this judgment.
- 9. Within 30 days after taking any of the actions ordered by this Judgment and Writ, Respondents shall file and serve a partial return to the Writ informing the Court and the parties of the date and nature of the action taken. After taking all of the actions ordered by this Judgment and Writ, Respondents may file a motion to discharge the Writ.
- 10. Pursuant to California Rule of Court, Rule 3 .1700, Petitioner may file a memorandum of costs.
- 11. The Court reserves jurisdiction to consider any motion by Petitioner for an award of attorneys' fees and expenses.

Dated: 3/23/21

Judge of the Superior Court

F:\TL\Goldman EIR\Trial\Orders\OR077 Prop Second Corrected Judgment,wpd

EXHIBIT 1

Order Granting Petitions for Writ of Mandate, Case No. RG19022887 Case No. RG19023058

ENDORSED FILED ALAMEDA COUNTY

JUL 0.9 2021

CLERK OF THE SUPERIOR COURT By JHALISA CASTANEDA Deputy

SUPERIOR COURT OF THE STATE OF CALIFORNIA IN AND FOR THE COUNTY OF ALAMEDA

SAVE BERKELEY'S NEIGHBORHOODS, a California nonprofit public benefit corporation,

Petitioner.

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THE REGENTS OF THE UNIVERSITY OF CALIFORNIA; JANET NAPOLITANO, in her official capacity as President of the University of California; UNIVERSITY OF CALIFORNIA BERKELEY; CAROL T. CHRIST, in her official capacity as Chancellor of the University of California, Berkeley; and DOES 1 THROUGH 20,

Respondents.

CITY OF BERKELEY,

Petitioner,

٧.

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA; JANET NAPOLITANO, in her official capacity as President of the University of California, UNIVERSITY OF CALIFORNIA, BERKELEY; CAROL T. CHRIST, in her official capacity as Chancellor of the University of California, Berkeley; and DOES 1 through 20,

Respondents.

Case No. RG19022887 Case No. RG19023058

ORDER GRANTING PETITIONS FOR WRIT OF MANDATE

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Petitioners City of Berkeley and Save Berkeley's Neighborhoods commenced two actions seeking a writ of mandate from the Court directing respondent The Regents of the University of California ("UC") to set aside a determination of May 16, 2019. (AR1.) UC approved a new development project to create additional academic and residential space for UC Berkeley's Goldman School of Public Policy ("GSPP") on Hearst Avenue (the "Upper Hearst Development"). (AR1.) To document its considerations of the environmental impact of its project under the California Environmental Quality Act ("CEQA"), UC certified a Supplemental Environmental Impact Report, which tiered from its 2020 Long Range Development Plan Environmental Impact Report ("2020 LRDP EIR") and made minor amendments to it. (AR1.)

For the following reasons, the court grants the writs of mandate. The parties vigorously debate whether the project description was consistent or appropriately defined. UC Berkeley's concession that both the project and its "baseline" are subject to judicial review makes much of this debate besides the point. The court concludes that the environmental analysis was legally insufficient in several respects, and that it cannot accordingly endorse the failure to consider a reduced enrollment alternative.

I. LEGAL STANDARDS

The Legislature intended CEQA "to be interpreted in such a manner as to afford the fullest possible protection to the environment within the reasonable scope of the statutory language." (Sierra Club v. County of Fresno (2018) 6 Cal.5th 502, 511, quoting Laurel Heights Improvement Assn. v. The Regents of the Univ. of Cal. (1988) 47 Cal.3d 376, 390.) "With narrow exceptions, CEQA requires an EIR whenever a public agency proposes to approve or to carry out a project that may have a significant effect on the environment." (Ibid., citing same at pp.390-391.) "Because the EIR must be certified or rejected by public officials, it is a document of accountability." (Id. at p.512, citing same at p.392.) The general public, "being duly informed, can respond accordingly to action with which it disagrees." (Ibid., citing same.)
When the Court finds a violation of CEQA, a writ must issue. (Pub. Res. Code § 21168.9(b).)

When the Court is asked to review the decision of a public agency for compliance with CEQA, its review "extend[s] only to whether there was a prejudicial abuse of discretion." (Pub. Res. Code § 21168.5.) A public agency has abused its discretion if either (1) the agency "has not proceeded in a manner required by law" or (2) the agency's decision is "not supported by substantial evidence." (*Ibid.*) "Judicial review of these two types of error differs significantly: While we determine de novo whether the agency has employed the correct procedures, "scrupulously enforc[ing] all legislatively mandated CEQA requirements" [citation], we accord greater deference to the agency's substantive factual conclusions." (*Sierra Club, supra*, 6 Cal.5th at p.512, quoting *Vineyard Area Citizens for Responsible Growth, Inc. v. City of Rancho Cordova* (2007) 40 Cal.4th 412, 435.) Reviewing factual findings, the Court "will resolve reasonable doubts in favor of the administrative decision[] and will not set aside an agency's determination on the ground that the opposite conclusion would have been equally or more reasonable." (*Id.* at p.515.)

II. INCREASES IN STUDENT ENROLLMENT AND CAMPUS POPULATION A. ENROLLMENT – "UPDATED BASELINE"

In the SEIR, UC Berkeley undertook to study the environmental impacts of the existing higher-than-projected campus population as part of the description of an "updated baseline." The SEIR states that its study of past population increase is not as part of the "project" as that term is used in CEQA. (See AR60, 101-103; AR847; see Guidelines § 15378 [defining "Project" as "the whole of an action, which has a potential for resulting in either a direct physical change in the environment, or a reasonably foreseeable indirect physical change in the environment" and subject to direction, funding, or discretionary permission by a public agency].) According to the SEIR, UC Berkeley studied the impacts of the increase in its population leading to its "updated baseline"—even though that increase was not part of the project—because "in its response to comments to the 2020 LRDP EIR, UC Berkeley made a commitment to the City of Berkeley

that, if enrollment increased beyond the projections set forth in the 2020 LRDP, it would undertake additional review under CEQA." (AR60.)

It is important to distinguish the SEIR's "updated baseline" analysis from the normal use of the term "baseline" under CEQA. Ordinarily, an environmental impact report studies the impacts of a project on the environment. To determine and measure those impacts, the lead agency must determine the environmental conditions as they exist at the time it files its notice of preparation for the project. (Cal. Code Regs., tit. 14, div. 6, ch.3, ["Guidelines"] § 15125(a).) Under Guidelines section 15125, "[a]n EIR must include a description of the physical environmental conditions in the vicinity of the project." (Guidelines § 15125(a).) "This environmental setting will normally constitute the baseline physical conditions by which a lead agency determines whether an impact is significant." (*Ibid.*) "The purpose of this requirement is to give the public and decision makers the most accurate and understandable picture practically possible of the project's likely near-term and long-term impacts." (*Ibid.*)

The "updated baseline" discussion in the SEIR serves to serve two purposes. First, the SEIR describes the current campus population as the baseline for its environmental impact analyses of the Upper Hearst Development, including the impact of further population increases. Second, the SEIR includes an analysis for purely informational purposes to "update" the 2020 LRDP EIR's projected population-related impacts analyses to analyze the impacts of the increase in campus population above the level studied in the 2020 LRDP EIR (the "Excess Population"). The SEIR discusses the potential impacts of the Excess Population and finds that it has had no significant environmental impacts. (See AR101-103.) The SEIR does not consider alternatives to the enrollment levels that led to Excess Population and does not adopt mitigation measures beyond those already adopted in the 2020 LRDP EIR.

The unusual structure of the SEIR's "update" analysis stems at least partly from an issue of legal interpretation. When it was certified in 2005, the 2020 LRDP EIR had projected that student enrollment at UC Berkeley would stabilize at around 33,450 students. (AR2134

["[O]nce our current target is reached, at an estimated two-semester average of 33,450 students, enrollment at UC Berkeley should stabilize."]; see also AR101.) But UC Berkeley's headcount did not stabilize. It continued to increase and quickly exceeded those projections, with student enrollment in the 2017-2018 school year reaching 40,955, "exceed[ing] the 2020 LRDP projection by approximately 7,500 students." (AR101.) The record in this action does not include any evidence that UC Berkeley studied the potential effects of the Excess Population before its decision to enroll the additional students who make up the bulk of the increase to its campus population since the 2020 LRDP EIR.

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Several community members and organizations, including SBN and the City of Berkeley, responded to UC Berkeley's Draft Supplemental Environmental Impact Report ("DSEIR") for the Upper Hearst Development by requesting that UC Berkeley separate its "updated baseline" analysis from the analysis of the Upper Hearst Development into a separate EIR. (AR847-848.) Many commenters found the DSEIR confusing and unusual because it combined a future land development project with a seemingly unrelated increase in student population that has already happened. UC Berkeley responded to these comments in its Final Supplemental Environmental Impact Report ("FSEIR"), arguing that an increase in enrollment or population categorically cannot constitute a "project" under CEQA. (AR847, citing Pub. Res. Code § 21080.09.) The FSEIR reasons, it is not possible to produce a meaningful EIR for the population increase because it would not be tethered to an "approval" of a "project." (AR847-848.) The FSEIR also notes that the GSPP project requires a minor amendment to the 2020 LRDP, and that the EIR analysis for that land-use plan would therefore need to be brought up to date. (Ibid.) The FSEIR concluded based on those premises that the Excess Population portion of its updated baseline analysis must be included in the SEIR for the Upper Hearst Development because it could go nowhere else.

That conclusion stood on a false premise. UC Berkeley was under the misapprehension that increases in student enrollment only needed to be considered in the context of the long-range

development plan process, and then only to the extent such projections would "assist in determining the amount of physical development required." (See AR847-878.) In 2018, before the DSEIR for the Upper Hearst Development was published, Petitioner Save Berkeley's Neighborhoods sued UC for failing to study the impacts of these increases in enrollment, alleging that the enrollment increases constituted an unstudied project in violation of CEQA. (See Save Berkeley's Neighborhoods v. The Regents of the Univ. of Cal. (2020) 51 Cal. App.5th 226, 233.) In June 2020, after the DSEIR and FSEIR were published, the Court of Appeal held that UC Berkeley's interpretation of CEQA was incorrect. "CEQA requires public universities to mitigate the environmental impacts of their growth and development." (Id. at p.231.) "In this context, growth includes student enrollment increases, which the Legislature has acknowledged 'may negatively affect the surrounding environment.'" (Ibid.) "[W]hen a public university prepares an EIR for a development plan, [Public Resources Code] section 21080.09 requires universities to expand the analysis to include a related feature of campus growth, future enrollment projections, which is entirely consistent with the traditional, broad definition of a CEQA project." (Id. at p.239.) "It does not say that subsequent changes to enrollment plans with new or increased environmental effects that have not been analyzed and addressed—are exempt from CEQA." (Ibid.)

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B. ENROLLMENT - HOW TO REVIEW THE "UPDATED BASELINE"

The "updated baseline" is a novel concept under CEQA, and it was included because UC Berkeley misunderstood its legal obligations to study the impacts of student enrollment. Yet the inclusion of an erroneous legal analysis in the SEIR does not necessarily require the EIR certification be set aside. An EIR serves to structure the public consideration of environmental impacts. Courts review an EIR for prejudicial abuse of agency discretion. (Sierra Club, supra, 6 Cal.5th at pp.511-512.) But a false legal analysis, like noncompliance with CEQA, is grounds to grant a writ setting aside the agency approval only if the noncompliance resulted in "a subversion of the purposes of CEQA by omitting information from the environmental review

process." (See Schenck v. County of Sonoma (2011) 198 Cal.App.4th 949, 959.) In other words, so long as the EIR still correctly identifies, analyzes, discusses alternatives, and proposes mitigation for substantial environmental impacts, it fulfills its public purpose as an informational document, notwithstanding the inclusion of a mistaken legal analysis, and its certification is not an abuse of discretion for that reason. (See Pub. Res. Code § 21168.5 [standard of review is prejudicial abuse of discretion]; Guidelines § 15151 ["The courts have looked not for perfection but for adequacy, completeness, and a good faith effort at full disclosure."].)

The next step would normally be to determine whether UC Berkeley's updated baseline analysis is part of the project being studied. An EIR must study and mitigate the impacts of a "project" and consider reasonable alternatives to that "project." (See Pub. Res. Code § 21002.1 ["The purpose of an environmental impact report is to identify the significant effects on the environment of a project, to identify alternatives to the project, and to indicate the manner in which those significant effects can be mitigated or avoided."]; Guidelines § 15126.2(a) ["An EIR shall identify and focus on the significant effects of the proposed project on the environment."]; see also Guidelines § 15358 [defining "effects" and "impacts" as including only those direct and indirect environmental impacts "caused by the project"].) In their briefs, the parties argued about whether UC Berkeley was estopped from denying that the population increase was part of the "project." At the hearing on the petition, however, the parties stipulated that the SEIR's analysis of the impacts of the increase in enrollment was subject to judicial review in this proceeding without resolving the issue of whether the increases to student enrollment that led to the Excess Population were a part of the project studied in the SEIR. Given this stipulation, the Court does not need to determine whether that past increase was part of the project under study here.

But what standard does the Court review the SEIR's analysis of the past increases in student enrollment? CEQA provides only one standard for review for an EIR—the Court will grant the writ if it finds that an agency "has not proceeded in a manner required by law" or its conclusions are "not supported by substantial evidence." (See Pub. Res. Code § 21168.5.) The

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Court does not decide whether the "updated baseline" analysis or the decision to increase enrollment are a part of the project. But because CEQA provides only one standard of review, this Order reviews the factual determinations and procedural conformity under the same standards as if they were a part of a project. The parties' arguments in the briefs regarding estoppel, waiver, and admission are moot given the parties' stipulation at hearing.

ENROLLMENT - DESCRIPTION OF THE UPDATED BASELINE

Both SBN and the City object to the SEIR on the grounds that it offers an inadequate, shifting description of the project under study as sometimes including the population increase, sometime not. "An accurate, stable, and finite project description is the [s]ine qua non of an informative and legally sufficient EIR." (See County of Inyo v. City of Los Angeles (1977) 71 Cal.App.3d 185, 193, 199.) An EIR is "fundamentally inadequate and misleading" if it offers "conflicting signals to decision-makers and the public about the nature and scope of the activity being proposed." (San Joaquin Raptor Rescue Ctr. v. County of Merced (2007) 149 Cal. App. 4th 645, 655-656.)

The public environmental review process begins with the issuance of a Notice of 15 Preparation ("NOP"). (Pub. Res. Code § 21092.) A NOP must provide "sufficient information describing the project and the potential environmental effects to enable the responsible agencies to make a meaningful response." (Guidelines § 15082(a)(1).) In this case, UC Berkeley's NOP describes the "project" as "a public-private partnership that would provide additional academic space for GSPP's undergraduate, graduate, and Global Executive Education programs, and housing geared toward campus affiliates, principally faculty, graduate and post-doctoral students" including "two separate buildings - an academic building and a residential building" (AR51, 53.) It also notes that the project "would involve minor text amendments to the 2020 LRDP" to "address the fact that . . . the proposed project conflicts with the existing applicable land use plan[] and is not consistent with the 2020 LRDP housing element." (AR52.) The NOP announces that a supplemental EIR, rather than some other kind of informational

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document, would be prepared because of "changes to the [2020 LRDP] land use plan" and "an increase in current and foreseeable campus population levels above those analyzed in the 2020 LRDP EIR, based on a general increase in student enrollment and employee levels and growing the GSPP program(s)." (AR52.) The NOP also notes that growing enrollment is part of a continuing trend, as least in the foreseeable future: "UC Berkeley may continue to expand enrollment", which "depends on various factors including... legislative mandates, University and State of California policies, available resources, and demographic trends" with an estimated growth of "about 1.5 percent annually, on an average, in the near-term." (AR51.) UC Berkeley eventually circulated a Draft SEIR, which described the project as both (1) "the Upper Hearst Development for GSPP" that "would have residential and academic components," and (2) a "Minor LRDP Amendment to accommodate the proposed land uses on the Project site." (AR76.) The evaluation of existing population levels above the levels previously studied were described in the DSEIR not as part of the project but as part of an updated baseline for campus population. (See, e.g., AR60, 64, 848.)

The "updated baseline" analysis does not fit well within a traditional CEQA framework. As discussed above, the SEIR itself consistently describes the project under study: the "Upper Hearst Development" involving residential and academic buildings and a minor amendment to the 2020 LRDP's land use plan to allow for that construction. (See, e.g., AR59-60, 69-98, etc.) In its discussion of student enrollment levels, the SEIR distinguishes the impacts of new student enrollment at the Upper Hearst Development from the impacts of the prior and projected enrollment growth not attributable to the GSPP project. Its apparent goal in doing so is to provide an environmental analysis for that previously unstudied population increase sufficient to inform the public without having to study the increase as an actual project.

Petitioners argue that the SEIR's use of an "updated baseline" to sometimes discuss and sometimes ignore the Excess Population is analogous to the misleading project descriptions in County of Inyo and City of Santee. (See County of Inyo, supra, 71 Cal.App.3d 185; City of

Santee v. County of San Diego (1989) 214 Cal.App.3d 1438.) But it does not present the same problems as an informational document as the EIRs in those cases. In County of Inyo, the City of Los Angeles abused its discretion by certifying an EIR that discussed an increase in ground water pumping from city-owned land in the Owens Valley for in-valley use while failing to discuss and analyze its vaguely defined "recommended project," a plan to divert surface and groundwater for export to the City of Los Angeles. Los Angeles defined its "project" narrowly while still purporting to disclose and discuss environmental impacts of increased water exports to the City as "not part of the project" because those flows were to be directed through an aqueduct that had been approved before CEQA was enacted—

At one point of the Final EIR the contradiction becomes explicit: 'In the process of preparing this environmental impact report, other aspects of Aqueduct System operation have been reevaluated. This includes a reappraisal of the rate of export through the Los Angeles Aqueducts. Thus, the project description presented below includes references to the rate of export, even though the rate of export was not part of the project.,,.' (County of Inyo v. City of Los Angeles (1977) 71 Cal.App.3d 185, 196.)

Here, UC Berkeley narrowly described its project as the Upper Hearst Development, but also purports to discuss the environmental impacts of the Excess Population as "not part of the project" because all previous unstudied increases in student enrollment were supposedly exempt from CEQA under UC's misreading of Section 21080.09. Upper Hearst Development includes a small increase in projected student enrollment at the GSPP, but that increase is one part of a continuing trend of increasing student enrollment with potential cumulative effects. But that is not the end of the analysis. Unlike the EIR in *County if Inyo*, UC Berkeley's SEIR discusses, describes, and considers the impacts of the larger trend in student enrollment in the SEIR.

In City of Santee, the Court analyzed a project by the County of San Diego to build a "temporary" jail facility to ease overcrowding in its jail system and analyzed the impacts over seven years. (City of Santee v. County of San Diego (1989) 214 Cal.App.3d 1438.) The Court emphasized that the County had inappropriately "chopp[ed] a large project into many little ones" because "[n]ot only does a reading of the record support a conclusion that some future action on

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the temporary project was contemplated, it also reflects the EIR here could be considered one small part of the larger project to ease jail crowding in the entire county." (Id. at p.1454.) "Thus it is reasonably foreseeable that the project will continue for a longer term than seven years, and it is likewise reasonably foreseeable that the temporary male detention facility will be moved to East Mesa either before the end of seven years or at that time." (Ibid.) "These future uses should have been included in the EIR and their cumulative effects discussed." (Ibid.) The SEIR in this case does consider increase in student enrollment on its own, but it does divorce that analysis from its context in its discussion of the "updated baseline."

In short, the SEIR attempts to analyze an increase in student enrollment without admitting that increasing student enrollment is a project subject to review. The SEIR describes the Upper Hearst Development as the relevant project. That project description does not shift from one part of the SEIR to another. The SEIR situates that project within its larger context of increasing student enrollment and it discusses cumulative effects. The SEIR's definition is clear enough that reasonable members of the public would not be misled about the scope of the project under review, and it is not so "curtailed, enigmatic or unstable" that it "draws a red herring across the path of public input." (County of Inyo, supra, 71 Cal.App.3d at p.198.)

ENROLLMENT -IMPACTS FROM GROWING CAMPUS POPULATION D.

Induced Housing Demand and Population Growth 1.

The CEQA Guidelines mandate that a lead agency should consider impacts to population and housing when analyzing a project. (Guidelines § 15126.2(a), (e) [EIR must discuss "changes induced in population distribution" "population concentration" and must "[d]iscuss the ways in which the proposed project could foster economic of population growth, or the construction of additional housing, either directly or indirectly, in the surrounding environment."].) The Guidelines' Environmental Checklist Form asks the lead agency to determine whether the project (a) would "[i]nduce substantial unplanned growth in an area, either directly (for example, by proposing new homes and businesses) or indirectly (for example, through extensions of roads or

other infrastructure)" or (b) would "[d]isplace substantial numbers of existing people or housing, necessitating the construction of replacement housing elsewhere." (Guidelines, appx. G, § XIV, subds. (a), (b).)

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UC Berkeley's DSEIR identified population and housing as an area in which further analysis was required. (AR206.) It projected that student enrollment at UC Berkeley in the 2022-2023 academic year would exceed the projections in the 2020 LRDP EIR by more than a third, but employees (and members of their households) were under projections. (AR207.) The DSEIR attributes this increase to an increase in California's college-age population and the state's Master Plan for Higher Education. (AR207.) The DSEIR "assume[s] that most of the additional campus population would live in Berkeley or nearby parts of the Bay Area. (AR207.) It compares the net population increase a projected increase to population for the entire metropolitan Bay Area and concludes that its increased campus population would not substantially affect the region as a whole. (AR207.) The DSEIR also noted that UC Berkeley had created only 1,119 of the 2,600 new student beds authorized or anticipated in the 2020 LRDP EIR, and that UC Berkeley therefore had excess capacity to accommodate additional student housing to offset any impacts. (AR208.) The DSEIR did not analyze effects on the housing market, reasoning that such impacts were "not in themselves environmental impacts." (AR208.) It concluded that the increase in population "would generally be accommodated without significant adverse impacts" and would not result in new impacts beyond those studied by the 2020 LRDP EIR. (AR208.)

The City of Berkeley submitted comments disputing this analysis. The City argued that the DSEIR's comparison to the entire Bay Area was inappropriate because "new students, faculty, and staff would be drawn to living in Berkeley due to the city's proximity to campus and the campus' location in the heart of the City" but that the net increase of roughly 11,000 students is approximately nine percent of the City's population. (AR1186.) The City also referenced a 2017 survey conducted by UC Berkeley's chancellor's office showing that demand for campus

housing at UC Berkeley outpaces supply and that UC Berkeley has the lowest percentage of beds to its student body of any UC campus in the state. (AR1185.) The same report found that ten percent of students had experienced homelessness while attending UC Berkeley, including 20 percent of doctoral students. (AR1185.) The City asserted that this increase in population without an increase in on-campus housing had led to "increased pressure for development in the City" as evidenced by a "marked increase in development applications over prior years particularly for the development of multi-unit housing developments typically marketed to students." (AR1186.) These new buildings, the City argued-when combined with UC Berkeley's practice of master-leasing off-campus apartments for student housing-reduce the housing available for non-student residents and displace existing residents, particularly in historically lower-income neighborhoods in South Berkeley and West Berkeley. (AR1186.) The City also argues the imbalance between rapidly increased student enrollment with little additional student housing development exacerbated student and nonstudent homelessness and caused additional significant environmental impacts, including physical impacts to parks, streets, and public places and increased demand for public safety and public health services due to the unsafe living conditions in homeless encampments. (AR1187.) The City faulted the DSEIR for not considering these indirect impacts from development, displacement, and homelessness.

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As part of the Southside Neighborhood Consortium ("SNC"), SBN also submitted comments on the topic of housing and population. (See AR1656 [Southside Neighborhood Consortium letter signed by Philip Bokovoy on behalf of SBN].) Like the City, SNC objected that population impacts were compared to population growth in entire Bay Area, not just Berkeley. (AR1654.) It argued that the increased student population causes "pressure on the local housing market with higher rents and more displacement" and increased "gentrification." (AR1655.) SNC also argued that the increased student population will increase demands for City services—including police protection, fire and emergency medical services, parks and open space—more late-night noise and traffic and more incidences of improper refuse disposal and

"moving day trash." (AR1655.) Finally, SNC also argued that the DSEIR's conclusion that the fact that the City "already accommodates" a large number of student residents "is the equivalent of saying: 'students over the baseline are already here so there is no impact.'" (AR1656.)

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UC Berkeley responded to these comments in the FSEIR, which reports that 4 approximately 65% of UC Berkeley students live on campus or within a mile of campus. 5 (AR845.) This statistic does not support the university's comparison of the increase in campus 6 population to population growth in the greater Bay Area rather than the local area in which the majority of its students reside. The FSEIR also finds that the total increase of 11,285 students by the end of the 2022-2023 academic year (that is, both the Excess Population and the projected increase from the Upper Hearst Development) would exceed the projected growth in UC Berkeley-provided housing. (AR846.) It concludes that the University's 2020 LRDP planned for a substantial increase in student housing that has not yet been built: "After construction of up to 150 housing units in the proposed Upper Hearst Development, substantial capacity for additional student housing would remain under the 2020 LRDP," and Development of additional, affordable student housing "is consistent with the 2020 LRDP's development plans." (AR855.) The FSEIR does not analyze whether exceeding the 2020 LRDP student enrollment projections had indirect environmental impacts that were exacerbated leaving significant amounts of planned student housing undeveloped.

The FSEIR's responded in part to the City's comments by noting that concerns about increased campus population are about the "updated baseline," not the proposed Upper Hearst Development. (See AR1185 [City's concern regarding impacts of "substantial increase in campus population"]; AR882 [FSEIR's response].) The FSEIR concluded that no response was required because the "updated baseline" was not the project under consideration: "[T]he student population has now increased beyond 2020 LRDP projections with little or no analysis of the potential environmental impacts of this increase in students over the number analyzed in the 2020 LRDP EIR." (AR882.) "CEQA [only] requires that UC Berkeley compare the potential

impacts of the housing project, such as the Upper Hearst Development, with existing environmental conditions at the time CEQA review occurs, including current campus population." (AR882.) "Review of population increases are typically done as part of an overall LRDP and its EIR." (AR882.) Here, the SEIR dismisses the displacement and indirect population impacts of the "update" portion of the updated baseline as outside the scope of its study. The Court cannot meaningfully review the SEIR's analysis of population impacts when the SEIR declines to reach those conclusions itself in the first place. If the "update" were a project, UC Berkeley's refusal to consider potentially significant environmental impacts would be a prejudicial abuse of discretion, and the Court finds it to be so here. This abuse of discretion is exacerbated because the analysis does not clearly address the impact of the future student enrollment increase attributed to the "project."

The FSEIR responds to the City and SNC's concerns about student and induced nonstudent homelessness by asserting that "CEQA was enacted to protect the environment and speculation about increased housing insecurity is beyond its scope." (AR883.) "The potential displacement of existing tenants in Berkeley also could result in an incremental increase in the population of homeless people living in Berkeley, although the social impacts of displacement are beyond the scope of environmental review under CEQA." (AR856.) In the FSEIR, UC Berkeley also argues that it need not consider the impacts of induced demand for non-University housing because another agency will consider the environmental impacts of individual development projects induced by economic forces. "Greater housing demand would increase the incentive to construct additional private housing that caters to UC Berkeley students." (AR856.) "If future projects are proposed that would require the displacement of substantial numbers of people or existing housing, necessitating the construction of replacement housing elsewhere, their environmental impacts would be evaluated as required by CEQA on a project-specific basis." (AR856, citing AR2535 [2020 LRDP Vol. 1 at p.4.10-10].) This analysis fails to consider whether its past increase in student enrollment caused population displacement.

Increases in campus population foreseeably lead to direct and indirect impacts on housing, population, and displacement, and the failure to consider those impacts constitutes a prejudicial abuse of discretion.

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The FSEIR concludes that no new displacement impacts would result from the construction of new University housing. "The proposed increase in headcount also may necessitate the construction of new UC Berkeley-provided housing; however, such construction would not require substantial displacements because the 2020 LRDP's land use strategy prioritizes the siting of new housing on UC Berkeley's current property and, where necessary, acquiring other sites where the displacement of existing tenants can be minimized." (AR856.) This portion of the analysis also fails to consider indirect displacement impacts.

2. Demand for Public Services by Excess Population

The City argues that UC Berkeley erred in considering the population impacts by concluding, without substantial evidence, that the increased population would not substantially increase the demand for public services. The Guidelines require a lead agency to consider "other aspects of the resource base such as . . . public services." (Guidelines § 15126.2(a).) The Environmental Checklist Form asks the lead agency to determine whether the project would "result in substantial adverse physical impacts associated with the provision of new or physically altered government facilities, the construction of which would cause significant environmental impacts, in order to maintain acceptable service ratios, response times or other performance objectives" for various public services. (Guidelines, appx. G, § XV.) In response to the Draft SEIR, the City of Berkeley submitted comments and evidence arguing that the increase in student enrollment had created a significant increase in police services, fire and emergency medical services, and public health services. (AR1187-1193.)

The City offered UC Berkeley a report by Economic and Planning Systems, Inc. (the "EPS Report") as part of its public comments on the project. (AR1221-1309.) The EPS Report broadly analyzes the fiscal impact on the City of being home to UC Berkeley. The Report states

that calls for police service from UC Berkeley and its immediate surroundings increased from 14 percent to 19 percent of the citywide total from 2003 to 2018. (AR1223.) The EPS Report also states that, although UC Berkeley has its own code enforcement and fire inspection personnel, it does not maintain its own fire and emergency medical response teams and, as a result, the City's fire department provides the vast majority of fire and emergency medical response protection for UC Berkeley's campus. (AR1223.) It also states that the City's fire department must undertake special training because of high-density buildings (e.g., student dormitories) and specialized buildings with dangerous contents (e.g., laboratories). (AR1189; see also AR6128 ["UC Berkeley relies on local public safety agencies to provide medical, fire, and emergency response" but provides information about "hazardous materials [responders] may encounter and how to deal with them "].) The EPS Report also notes that increased enrollment has increased demand for the City's public health services. (AR1191.)

In the FSEIR, UC Berkeley reasoned that staffing and equipment purchases are "fiscal matters," whereas "CEQA analysis . . . focuses on environmental impacts that could result from construction of new facilities that are required to provide fire department services." (AR846; see also AR877 at Response A 8.4 ["As to comments related to how increased student population places additional burdens on already overtaxed city services, while this is a matter of concern, under CEQA, staffing and support needs for public services are relevant only to the extent that they result in physical changes that have environmental impacts."].) Regarding fire department response times, UC stated that it would continue to abide by "Continuing Best Practice PUB-2.3" from the 2020 LRDP EIR: to "continue [its] partnership with [Lawrence Berkeley National Laboratory], [Alameda County Fire Department], and the City of Berkeley to ensure adequate fire and emergency service levels to the campus and UC facilities," including "consultation on the adequacy of emergency access routes to all new University Buildings." (AR847.) The FSEIR did not expressly mention public health services in its response. (See AR884.)

Importantly, the FSEIR based its conclusion on an absence of evidence produced by the City. It

found that its analysis in the DSEIR was adequate because "[the City] did not provide information or analysis to suggest that new or physically altered fire protection facilities, the construction of which could cause significant environmental impacts, would be required as a result of the proposed project or increased headcount." (AR884, at Response 8.22.)

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The City did, in fact, provide information indicating that increased service calls "will 5 result in a need for additional personnel and new facilities to accommodate them." (AR1190.) 6 After UC Berkeley released the Final SEIR, the City submitted additional evidence of physical 7 impacts. (See SAR239 [letter from City to UC Board of Regents dated May 13, 2019].) The 8 City identified its current plans for a new fire station in South Berkeley, a new ambulance it already purchased, and its pressing need for larger space for its 911 emergency response call center. (SAR249, SAR340.) The same letter argues that, because Berkeley police spend an increasing proportion of their time responding to an increasing number of student calls, their activities necessarily result in increased air pollution, greenhouse gas emissions, and traffic. (SAR248.) The Court found no substantial contradictory evidence that might show that the increased demand on City of Berkeley services caused by from the Excess Population has not contributed to the City's plans or purchase of new facilities with an impact on the environment, and the SEIR does not cite or discuss any. The Court finds that it was a prejudicial abuse of discretion to certify the SEIR on the basis of this inadequate analysis.

Noise and Aesthetic Impacts from Excess Population 3.

The DSEIR noted that "additional student enrollment could indirectly result in an increase in student rentals of private off-campus housing in Berkeley's residential neighborhoods," which might result in "incrementally greater noise generated from existing sources such as human conversations on sidewalks and residential yards, especially during social gatherings." (AR208.) It dismissed this concern because the "increased headcount would not introduce new sources of noise that may disturb residents, since neighborhoods near UC Berkeley already accommodate a high proportion of off-campus student rentals." (AR208.)

"Continued implementation of the Berkeley Noise Ordinance would also minimize exposure to high noise levels generated on properties in the city." (AR208.) "Therefore, the Project, accounting for the updated campus headcount projections, would not result in significant indirect environmental impacts in off-campus neighborhoods." (AR208.)

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Residents living near campus expressed concern with this analysis. SNC commented that the DSEIR did not consider evidence of the noise impacts of increasing student enrollment by 11,285 students who live primarily in off-campus private housing. (AR1653-1654.) Groups of students living off campus are associated with "increases in noise, late-night traffic, and improper refuse disposal." (AR1654.) Individual commenters also expressed this concern: "The increase in traffic (and in aggressive driving), difficulties in parking, increase in noise from groups of students, and increase in improperly discarded trash and street dumping are only a few of the changes I have seen." (AR1837.) "[M]ini-dorms frequently generate extreme party noise, often into the wee hours of morning," but "calling the police when one is kept awake by party noise is often useless, as they are too busy to go to each screaming party." (AR1861.) Commenters attached pictures of improperly disposed refuse on "move-out day." (AR1865.)

The FSEIR repeated assertions from the DSEIR. "[A]dditional student enrollment could 16 result in an increase in student rentals of private off-campus housing in Berkeley's residential neighborhoods." (AR845.) "However, increased headcount would not introduce new sources of noise that may disturb residents, since neighborhoods near UC Berkeley already accommodate a high proportion of off-campus student rentals." (AR845, emphasis added.) The FSEIR reasons that neighborhoods near campus currently accommodate large numbers of students. This analysis is an abuse of discretion. The FSEIR compares the its current student enrollment numbers to the present, post-increase status quo and concludes that the increased in student enrollment will not have any effects. That is, the SEIR fails to compare its increased enrollment to a baseline before the increase. In this, the SEIR fails in its own stated goal of studying the impacts of its earlier increases in student enrollment. The Court also cannot find substantial

evidence in the record supporting FSEIR's conclusion that a further marginal increase in student enrollment would not exacerbate noise issues or have cumulative impacts.

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The FSEIR also concludes that other factors will offset or mitigate any noise impacts from increased student enrollment. "Continued implementation of the Berkeley Noise Ordinance would also minimize exposure to high noise levels generated on properties in the city." (AR845.) "Good neighbor relations programs and activities established by the [sic] UC Berkeley, like Happy Neighbors, which educates students and their neighbors about community expectations and relevant policies and laws, will also help to minimize exposure to high noise levels." (AR846.) The SEIR refers to no evidence on which it based a conclusion that these programs reduce the impact of the increase in students residing off-campus in private housing on community noise levels, much less a finding that, if there were a noise impact, these programs effectively reduce the impact to insignificance. The SEIR does not propose that UC adopt these programs as mitigation measures to minimize the ongoing impact of its increased student enrollment levels.

In response to community comments regarding moving-day trash, the FSEIR also asserts 15 that "[s]poradic littering does not rise to the level of a significant aesthetic impact under CEQA." (AR846, 911, 1055.) It also similarly references university programs meant to mitigate the impact: "Other issues, such as the visual effect of littering caused by students living off-campus, are also addressed through programs such as Cal Move [I]n and Move Out, which is a program established to decrease the environmental and social impacts of illegal dumping in near-campus neighborhoods at the start and end of the academic year, and to educate students about responsible disposal and reuse strategies." (AR846.) A "significant effect on the environment" is "a substantial, or potentially substantial, adverse change in any of the physical conditions within the area affected by the project " (Guidelines § 15382.) Under the substantial evidence standard, UC Berkeley could reasonably conclude, based on the reported once-a-year nature of this problem, that it was not a "substantial" effect on the environment.

Reasonable Alternatives 4.

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The City of Berkeley and SBN both fault UC Berkeley for not considering a "reduced 2 enrollment" alternative in the SEIR. Normally, a lead agency must "describe feasible measures 3 which could minimize significant adverse impacts" and "describe a range of reasonable alternatives to the project, or to the location of the project, which would feasibly attain most of the basic objectives of the project but would avoid or substantially lessen any of the significant effects of the project, and evaluate the comparative merits of the alternatives." (Guidelines § 15126.4(a)(1), § 15126.6(a).) UC Berkeley argues that increased student enrollment was already part of the baseline, but even if it was considered, the SEIR found the increase had no significant impacts from the this excess population. Thus discussion of a reduced enrollment alternative would have been superfluous. Because the court concludes that the environmental analysis was flawed, however, this court cannot endorse UC Berkeley's conclusion that the lack of analysis of a reduced enrollment alternative is legally permissible. Moreover, UC Berkeley's argument does not address the future impact of increased enrollment due to the "project."

UPPER HEARST DEVELOPMENT - IMPACTS ON HISTORIC RESOURCES III.

SBN and the City both argue that UC Berkeley failed to properly define the historical context of the new buildings on Hearst and performs an incomplete analysis of the impact of the project on the historical buildings in the surrounding neighborhood. SBN also argues that the University's mitigation measure improperly defers mitigation for significant historical impacts.

The DSEIR identified four buildings as historical resources near the Upper Hearst Development site, relying on the 2020 LRDP EIR and the California Office of Historic Resources Inventory. (AR134 [identifying the Beta Theta Pi House, Cloyne Court, Founder's Rock, and Phi K Psi].) The 2020 LRDP EIR in turn drew its list of historic resources from "the National Register of Historic Places, the State Historic Resources Inventory (State Inventory) compiled by the Northwest Information Center at Sonoma State University, the Physical and Environmental Planning unit in Facilities Services at UC Berkeley, and registers of the cities of

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Berkeley and Oakland." (AR2312; AR2316 [describing Berkeley landmark ordinance]; see also AR2324-2325 [listing designated buildings in the adjacent blocks north of campus]. The DSEIR discussed the historical context of the First Bay Tradition, a style of architecture represented by numerous buildings in Daley's Scenic Park (also called Daley's Scenic Tract), near the Upper Hearst Development site. (AR131-140.) The DSEIR found that the Upper Hearst Development project would require the demolition of a parking structure, which UC Berkeley had determined not to be a historical resource. (AR147.) The Upper Hearst Development would also require the demolition and replacement of "most of the primary site design of the Beta Theta Pi house" including removal of 32 feet of a stream-rock training wall and numerous landscaping features. (AR147-148.) The Upper Hearst Development would also "envelop [the Beta Theta Pi house] within the site plan of the adjacent academic building, which bears little resemblance to the historic property in terms of materials, design, scale/mass, and setting." (AR148.) Additionally, the "scale, mass, and architectural design/materials of the new buildings" would not be "compatible with the massing, size, scale, and architectural features" of the Beta Theta Pi house, Cloyne Court, or Phi Kappa Psi because "the new buildings would be significantly higher and of greater mass and scale than all buildings in the immediate vicinity." (AR149.)

The DSEIR concluded that the Upper Hearst Development would "impair the integrity of two historical resources identified in the 2020 LRDP" and "would impair the integrity of a third . . . immediately north of the Project site." (AR150.) It proposed a mitigation measure (designated "MM-CUL-1") requiring that, "[p]rior to approval of final design plans for the Upper Hearst Development, UC Berkeley shall retain a historic architect meeting the National Park Service Professional Qualification Standards for historic architecture to review plans for the proposed academic and residential buildings" who would "provide input and refinements to the design team regarding modifications to the palette of exterior materials to improve compatibility with neighboring historical resources and compliance with" national standards. (AR150.) The DSEIR found that the Upper Hearst Development would "degrade the integrity of feeling and

setting of historical resources adjacent to the Project site" as a "significant and unavoidable cumulative impact on historical resources" and that MM-CUL-1 would "reduce this impact to the extent feasible." (AR150.)

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Several public commenters expressed concern about the DSEIR's description of the 4 Upper Hearst Development's historical context. (E.g., AR1206 [City of Berkeley]; AR1150-5 1151 [Berkeley Landmark Preservation Commission]; AR1634-1639 [Berkeley Architectural 6 Heritage Association].) One particularly thorough letter from the Berkeley Architectural 7 Heritage Association ("BAHA") identified an additional 25 buildings in the neighboring 8 blocks—eleven of them designated landmarks by the City of Berkeley Landmark Preservation 9 Commission. (AR1638-1639.) It also argued that the DSEIR analysis did not recognize Daley's Scenic Park as an independently significant historical resource. (AR1634, 1639.) The eleven listed buildings are "presumptive" historic resources because they are listed on a local historic registry. (AR1638-1639; see Pub. Res. Code § 21084.1; Guidelines § 15064.5(a)(2).) One building, the Phi Delta Theta chapter house, is listed on the National Register of Historic Places. (AR1638.) The other buildings (and Daley's Scenic Park as an area) may constitute historical resources, subject to the discretion of UC Berkeley, based on the evidence. (See Guidelines § 15064.5(a)(3) ["Any . . . building, structure, site, area, [or] place . . . which a lead agency determines to be historically significant . . . may be considered to be an historical resource, provided the lead agency's determination is supported by substantial evidence in light of the whole record."].)

The FSEIR responded to comments by noting that the Upper Hearst Development is not situated in a designated historic district (AR897) and that the DSEIR "is not intended as a comprehensive survey or original scholarship on the history of the project site and its surroundings." (AR862.) The FSEIR noted that many of the buildings that BAHA identified are located several blocks from the project site and that, "[i]n keeping with standard CEQA and professional practice," the DSEIR analyzed the potential impacts on historical resources located

 within or directly adjacent to the project site. (AR898.) The FSEIR notes that, although the remaining buildings were not discussed in the DSEIR by name, they are part of the historical context and of the nearby neighborhood, which was discussed in some detail. (AR898; see AR131-140.)

In its follow-up letter to the FSEIR, the City of Berkeley communicated a concern that MM-CUL-1 was an inadequate mitigation measure because it did not reduce the severity of the impact to a "less than significant" level. (SAR257.) The City also objected that it "relegates the input of a historical architect to the status of unenforceable best practices, and delays this input until a later unspecified date" because it "would merely result in recommendations for exterior building materials that would be forwarded to University decision-makers to 'consider,' without any demonstrable enforceability to avoid or reduce the severity of the impact." (SAR257-258.) The City argued that "[t]he deferred input of an historical architect on future proposed redesigns following CEQA review fails to avoid or substantially reduce this impact," which, "contrary to the University's assertions, . . . is not unavoidable." (SAR259.)

In its findings adopting the SEIR and approving the project, the University found that the Upper Hearst Development would "degrade the integrity of feeling and setting of historical resources adjacent to the Project site, which will contribute to a significant and unavoidable cumulative impact on historical resources" and that adopting MM-CUL-1 was feasible and would "reduce this impact to the extent feasible." (AR24-25.) It also found that, "even with implementation of this measure, significant unavoidable impacts [would] occur" and that "specific economic, legal, social, technological, or other considerations make it infeasible to reduce this impact to a less than significant level." (AR25.)

The DSEIR's inventory of four potentially affected buildings as historical resources is supported by substantial evidence, the inventories consulted for the DSEIR and the 2020 LRDP EIR. (See AR862 [describing "due diligence" steps taken, including site visits, records research, and experts consulted]; see also AR130, 141-145.) The DSEIR also discusses the major

historical events and aesthetic and architectural features that give the area north of campus its historical context and value and feeling. In so doing it describes the historical environmental setting of the surrounding area, including the First Bay Tradition and the structures that survived 1923 Berkeley Fire.

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UC Berkeley's decision to exclude the buildings on BAHA's list from consideration is also not an abuse of discretion. The resources in the list may qualify as "historical resources." (See Guidelines § 15064.5(a)(1)-(4).) But CEQA only requires a lead agency to consider as an impact a "substantial adverse change in the significance of an historical resource." (Guidelines § 15064.5(b).) A "substantial adverse change in [] significance" means "physical demolition, destruction, relocation, or alteration of the resource or its immediate surroundings such that the significance of an historical resource would be materially impaired." (Guidelines § 15064.5(b)(1).) The City and SBN argue that the Upper Hearst Development might "alter[]" the "immediate surroundings" of the additional historical resources identified by BAHA and that UC Berkeley abused its discretion by failing to consider the project's potential impacts on those buildings. The unconsidered buildings are as close as a block away to several blocks away.

UC Berkeley did not abuse its discretion in determining that buildings in the "immediate vicinity" of the project site means buildings within the project side or immediately adjacent to it—that is, buildings across the street were included; those a block away were not. The type of impact being discussed here (a mismatch of scale and architectural style) may affect the historical feel of a building, but proposed plans and the description of the environmental setting are substantial evidence from which a reasonable person could conclude that the impact of the project would be insignificant for all but the closest buildings. There is no evidence that the addition of a new building would have a material impact on the historical significance of the nearby historical structures. (Cf. Taxpayers for Accountable School Bond Spending v. San Diego Unified School Dist. (2013) 215 Cal.App.4th 1013, 1045 [finding no abuse of discretion when

school district failed to consider impact of increased field lighting from new football stadium on historical significance of nearby neighborhood].)

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UC Berkeley's decision not to consider Daley's Scenic Tract as a historical district is also 3 not an abuse of discretion. The FSEIR found by substantial evidence that Daley's Scenic Tract 4 is not listed in a national, state, or local historic register. It is therefore not a "mandatory" or 5 "presumptive" historical resource. (See Pub. Res. Code § 21084.1; Guidelines § 15064.5(a)(1), 6 (2); see also Valley Advocates v. City of Fresno (2008) 160 Cal. App. 4th 1039, 1051 [discussing 7 "mandatory," "presumptive," and "discretionary" as common labels for three categories of 8 treatment for historical resources under CEQA].) SBN argues that UC Berkeley abused its 9 discretion by failing to consider whether Daley's Scenic Tract constitutes a discretionary 10 historical resource. SBN analogizes this to the Valley Advocates case, arguing that the Court 11 should grant the writ because UC Berkeley "did not, in fact, exercise its discretion" to determine 12 whether Daley's Scenic Tract is a historical resource. (Valley Advocates v. City of Fresno (2008) 13 160 Cal.App.4th 1039, 1061.) In that case, however, "the City Council [of Fresno] was misinformed about its discretion" because it had previously denied an application for historical recognition for the same building. (Id. at p.1061.) And although the SEIR does not expressly consider the regulatory factors for weighing whether a resource could, in UC's discretion, be considered a historical resource, there is no indication that UC Berkeley was under a misapprehension of its ability to do so here. Instead, it appears to have been aware of the history and significance of the scattering of First Bay Tradition homes surviving in Daley's Scenic Tract. (See, e.g., AR134-135, 862, 897.) The SEIR discussed the importance of the neighborhood as context but did not find the area itself to be a historical resource independent of the collection of historical buildings within it.

SBN argues that mitigation measure MM-CUL-1 improperly defers mitigation because it only requires that the architect "provide input and refinements" but no actual requirements. The DSEIR proposed, and UC Berkeley adopted, MM-CUL-1, which provides as follows:

Prior to approval of final design plans for the Upper Hearst Development, UC Berkeley shall retain a historic architect meeting the National Park Service Professional Qualifications Standards for historic architecture to review plans for the proposed academic and residential buildings. The historic architect shall provide input and refinements to the design team regarding modifications to the palette of exterior materials to improve compatibility with neighboring historical resources and compliance with the Secretary of Interior's Standards. This review shall include, but not be limited to, suggestions for incorporating exterior materials, such as wood or brick, in the design. (AR150.)

UC Berkeley argues that its adoption of MM-CUL-1 should not be judged against the usual standards for mitigation measures because it does not purport to reduce the impact to a "less than significant" level. (Resps.' Opp. to Petr. SBN's Opening Merits Brief, at p.35 [citing AR150]; see also AR25 [findings of significant and unavoidable impact]; AR2367 [2020 LRDP EIR].) This argument is contrary to the text and policy underlying CEQA: "Each public agency shall mitigate or avoid the significant effects on the environment of projects that it carries out or approves whenever it is feasible to do so." (Pub. Res. Code § 21002.1; see also Pub. Res. Code § 21002 ["[I]t is the policy of the state that public agencies should not approve projects as proposed if there are feasible alternatives or feasible mitigation measures available which would substantially lessen the significant environmental effects of such projects."].) Even if a lead agency finds that environmental impacts would remain significant, it still must adopt feasible measures to mitigate or avoid those impacts.

That said, UC Berkeley did not abuse its discretion by adopting MM-CUL-1. UC Berkeley argues that it is not deferring mitigation because MM-CUL-1 requires it to take specific steps, because those steps are to be guided by the Secretary of the Interior's standards, and because mitigation to historical impacts is inherently subjective and does not lend itself to objective criteria. As the term is used in CEQA, "[m]itigation' includes: [¶] (a) Avoiding the impact altogether by not taking a certain action or parts of an action[; and] [¶] (b) [m]inimizing impacts by limiting the degree or magnitude of the action and its implementation"

(Guidelines § 15370.) To ensure that mitigation measures are adequate, an "EIR shall describe

feasible measures which could minimize significant adverse impacts." (Guidelines § 15126.4.(a)(1).) "Formulation of mitigation measures shall not be deferred until some future time." (Guidelines § 15126.4(a)(1)(B).), "The specific details of a mitigation measure, however, may be developed after project approval when it is impractical or infeasible to include those details during the project's environmental review provided that the agency (1) commits itself to the mitigation, (2) adopts specific performance standards the mitigation will achieve, and (3) identifies the type(s) of potential action(s) that can feasibly achieve that performance standard and that will considered, analyzed, and potentially incorporated in the mitigation measure."

(Ibid.) UC Berkeley's adopted mitigation measure MM-CUL-1 meets these standards because it commits the University to hiring a qualified historical architect, because it requires the University to adopt the Secretary of Interior's Standards as a performance standard (see Guidelines §15064.5(b)(3)), and because it identifies changes to exterior materials as the kinds of changes that will be considered and potentially incorporated in the mitigation measure.

UC Berkeley also did not abuse its discretion by concluding that the Upper Hearst Development's impact on the historical resources would be significant and unavoidable and that the benefits of the project outweigh the historical impacts. (See AR25, 40.) SBN argues that UC Berkeley abused its discretion by rejecting a reduced scale alternative. (AR38.) UC's factual findings included a finding that:

The Reduced Scale Alternative would meet most of the Project objectives, but to a lesser extent than would the Project. The Alternative would better meet objectives to maintain the character and setting of surrounding historic buildings and to build facilities that are compatible with the surrounding neighborhood. However, by reducing the scale of the new GSPP, the Alternative would not fully meet objectives related to fulfilling the academic needs of the School's program. Similarly, because the residential building includes fewer residential units than proposed, the alternative would not fully meet objectives to provide housing on-site to serve current market demand and to address the shortage of campus housing. By reducing the scale of development, the Alternative would meet the objective of accommodating increased School enrollment to a lesser extent than would the Project. The potential removal of additional parking spaces also would not meet the objective of maintaining as much parking as possible

on-site. Because the Reduced Scale Alternative would meet Project objectives to a lesser extent than would the Project, the University rejects this alternative. (AR38.)

SBN argues that UC Berkeley did not actually find that the reduced scale alternative was infeasible. UC Berkeley's findings noted that a reduced scale alternative would lessen some impacts but exacerbate others. (See also AR251-254 [discussion in DSEIR reaching same conclusion].) Substantial evidence supports the university's decision that the environmental benefits of the reduced scale alternative were outweighed by its downsides and that it is infeasible to reduce that impact to a less-than-significant level. (AR24-25.)

IV. UPPER HEARST DEVELOPMENT -TRAFFIC AND NOISE IMPACTS

The City also argues that the SEIR's analysis of the Upper Hearst Development failed to adequately analyze potential traffic and noise impacts. The City objected to the DSEIR's traffic analysis, arguing that the DSEIR assumed without evidence that reducing existing parking at the Upper Hearst Development site would reduce trip generation rather than shift parking demand elsewhere. (See AR1194.) Responding to the City's comment, the FSEIR justified its reliance on indirect measures of trip generation because alternatives to university parking, such as street parking and off-campus parking lots, are open to the public and University-affiliated parking cannot be isolated from general public parking at those locations, so direct observation of trip generation is infeasible. (AR884-885.) Instead the FSEIR, like the 2020 LRDP EIR from which it tiers, relies on indirect data, such as survey data, intersection traffic data, on-campus parking permits and parking lot occupancy rates. (AR885.) "[T]he UC Berkeley-operated parking supply decreased by about 1,130 spaces (about 15 percent) from 2001-2002 to 2016-2017, and during the same period, the drive-alone mode share for all population groups, as well as Campus Park trip generation, decreased." (AR885.) The data behind these statements are substantial evidence from which a reasonable agency could conclude that a marginal decrease in parking availability will marginally decrease car commutes by inducing more students, faculty, and staff

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to commute by public transportation, bicycle, or foot. This conclusion was not an abuse of discretion.

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The City also argues that the SEIR failed to consider traffic impacts related to 3 construction of the Upper Hearst Development. (See AR1195.) It did, however, consider those 4 impacts, and it concluded that they "would be less than significant and no mitigation is therefore required." (AR879.) The City argues that the SEIR's continuing best practices, which were adopted from the 2020 LRDP EIR, "do not include, as they should, City review and approval of the Construction Traffic Management Plans." (AR1195.) The SEIR expressly adopted continuing best practices from the 2020 LRDP EIR, which mandate that the university require contractors to prepare of a construction traffic management plan early in the planning process including proposed truck routes, construction hours, employee parking, and equipment stagingand schedule projects to minimize overlap between excavation and other truck-heavy portions of construction projects. (AR879, 886 [responding to City's comment at AR1195]; see AR221 [describing continuing best practices TRA-3-a, TRA-3-b, and TRA-3-c].) These best practices do not mandate that the University get City approval for its traffic and construction parking plans, but the City provides no evidence of a legal requirement to do so or evidence that a failure to do so would result in a significant impact to the environment. UC Berkeley's decision to certify the traffic analysis of the Upper Hearst Development in the SEIR was not an abuse of discretion.

The City also objected to the SEIR's analysis of noise impacts of the Upper Hearst Development's potential noise impacts on nearby noise-sensitive land uses like nearby multifamily housing developments, student housing, and academic buildings. The City argues that the SEIR's analysis was flawed because it measured the baseline noise level during the evening commute, resulting in an artificially high baseline for noise impacts. The City also argues that the SEIR failed to describe the sources of noise that might result from the Upper Hearst Development, including both ongoing sources of noise like HVAC operations and

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sporadic sources of noise such as outdoor activities or special events. The City objects that the SEIR reached its conclusion that no significant noise impacts would result without considering the number, size, time, and frequency of events to be held at the event center at the Upper Hearst Development. The City presented these arguments in its comments to the DSEIR. (See AR1202.) In response, the FSEIR noted that peak-hour ambient noise measurements are used to characterize "the worst daily noise exposure near a Project site" and is a "more conservative approach to assume that new residents on the Project site would be exposed too this noise level." (AR890.) The FSEIR also concluded that "the noise associated with outdoor events would not cause City of Berkeley Noise Ordinance limits to be violated at nearby sensitive receptors and therefore[] would be less than significant." (AR908; see also AR201-202 [discussing noise from HVAC and outdoor events, concluding less than significant impact on nearby sensitive receptors].) Certification of the SEIR on this issue was therefore not an abuse of discretion.

V. ORDER

SBN's petition for writ of mandate is GRANTED. The City of Berkeley's petition for writ of mandate is GRANTED. SBN and Berkeley shall meet and confer to draft a proposed judgment and form of writ consistent with this Order and shall jointly file the proposed judgment and form of writ within 10 court days of service of notice of entry of this order.

Dated: July 9, 2021

Brad Seligman Judge of the Superior Court

SUPERIOR COURT OF CALIFORNIA COUNTY OF ALAMEDA

Case Number: RG19022887 (Related to RG19023058)

Case Name: Save Berkeley's Neighborhoods v. The Regents of the University of California

RE: ORDER GRANTING PETITIONS FOR WRIT OF MANDATE

CLERK'S CERTIFICATE OF SERVICE

I certify that I am not a party to this cause and that a true and correct copy of the foregoing document was mailed first class, postage prepaid, in a sealed envelope, and that the mailing of the foregoing and execution of this certificate occurred at 1225 Fallon Street, Oakland, California.

Executed: 7/9/2021

Ghalisa Castaneda Courtroom Clerk, Dept. 23

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EXHIBIT 2

1 2 3 4 5	Thomas N. Lippe, SBN 104640 LAW OFFICES OF THOMAS N. LIPPE, APC 201 Mission Street, 12th Floor San Francisco, California 94105 Tel: (415) 777-5604 Fax: (415) 777-5606 E-mail: Lippelaw@sonic.net		
6	Attorney for Plaintiff Save Berkeley's Neighborhood	do.	
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8	IN THE SUPERIOR COURT OF THE STATE OF CALIFORNIA		
9	IN AND FOR THE COUNTY OF ALAMEDA		
17 18 19 20 21	SAVE BERKELEY'S NEIGHBORHOODS, a California nonprofit public benefit corporation; Plaintiff, vs. THE REGENTS OF THE UNIVERSITY OF CALIFORNIA; JANET NAPOLITANO, in her capacity as President of the University of California; UNIVERSITY OF CALIFORNIA, BERKELEY; CAROL T. CHRIST, in her capacity as Chancellor of the University of California, Berkeley; and DOES 1 through 20, Respondents, AMERICAN CAMPUS COMMUNITIES; COLLEGIATE HOUSING FOUNDATION; AMERICAN CAMPUS COMMUNITIES	Case No. RG19022887 Related Cases: RG18902751 RG19023058 [Proposed-Corrected] PEREMPTORY WRIT OF MANDATE [California Environmental Quality Act] Assigned for All Purposes to the Honorable Brad Seligman, Dept. 23 Action Filed: June 13, 2019 Trial: April 16, 2021	
22 23 24 25 26 27 28	SERVICES, INC.; AMERICAN CAMPUS COMMUNITIES OPERATING PARTNERSHIP LP; and DOES 23 through 40, Real Parties in Interest.		

Law Offices of Thomas N. Lippe 201 Mission St. 12° Floor San Francisco, CA 94105 Tol. 415-777-5604 Fax: 415-777-5606 To Respondents the Regents of the University of California; JANET NAPOLITANO, in her capacity as President of the University of California; University of California, Berkeley; and Carol T. Christ, in her capacity as Chancellor of the University of California, Berkeley (hereinafter collectively referred to as "Respondents"):

- 1. Pursuant to Public Resources Code section 21168.9(a)(1):
 - a. Respondents are ordered to void any decision or decisions they may have made prior to entry of this Judgment to increase student enrollment in academic year 2022-2023 or later above the level of student enrollment at UC Berkeley in academic year 2020-2021.
 - b. Respondents are ordered to void their decision to carry out the Upper Hearst Development for the Goldman School of Public Policy and Housing Project.
 - c. Respondents are ordered to decertify the Final Supplemental Environmental Impact Report for the Upper Hearst Development Plan for the Goldman School of Public Policy and Minor Amendment to the 2020 Long Range Development Plan ("SEIR").
- 2. Pursuant to Public Resources Code section 21168.9(a)(2), the Court finds that further increases in enrollment will prejudice the consideration or implementation of particular mitigation measures or alternatives to the project, such as the Reduced Enrollment Alternative discussed at page 21 of the Order, and that further increases in student enrollment above the current enrollment level at UC Berkeley could result in an adverse change or alteration to the physical environment; therefore, Respondents are ordered to suspend any further increases in student enrollment at UC Berkeley, in academic years 2022-2023 and later, above the level of student enrollment in academic year 2020-2021 until Respondents have demonstrated full compliance with this Judgment and Writ and the Court orders discharge of the Writ.
- 3. Pursuant to Public Resources Code section 21168.9(a)(3), Respondents are ordered to revise the SEIR to remedy the deficiencies identified in this Judgment and to ensure that the SEIR complies with the California Environmental Quality Act (CEQA); and with respect to the revised SEIR, to comply with all procedures set forth CEQA Guidelines 15084 through 15097, and to certify the revised SEIR pursuant to CEQA Guideline 15090 by June 30, 2022.
- 4. Within 30 days after taking any of the actions ordered herein, Respondents shall file and serve a partial return to the Writ informing the Court and the parties of the date and nature of the action taken. After taking all of the actions ordered by this Judgment and Writ, Respondents may file a motion to discharge the Writ.

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Law Offices of Thomas N. Lippe 201 Mission St. 12" Floor San Francisco CA 94105	
San Francisco, CA 94105 Tel: 415-777-5604 Fax: 415-777-5606	[Proposed] Peremptory Writ of Mandate; Case No. RG19022887 (CEQA)

SUPERIOR COURT OF CALIFORNIA COUNTY OF ALAMEDA

Case Number: RG19022887 (Related to RG19023058)

Case Name: Save Berkeley's Neighborhoods v. The Regents of the University of California

RE: JUDGMENT

CLERK'S CERTIFICATE OF SERVICE

I certify that I am not a party to this cause and that a true and correct copy of the foregoing document was mailed first class, postage prepaid, in a sealed envelope, and that the mailing of the foregoing and execution of this certificate occurred at 1225 Fallon Street, Oakland, California.

Executed: 8/24/2021

Ghalisa Castaneda Courtroom Clerk, Dept. 23

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